

# Completing and extending shellings of vertex decomposable complexes

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Nathan Geist

Joint with M. Coleman, A. Dochtermann, and S. Oh

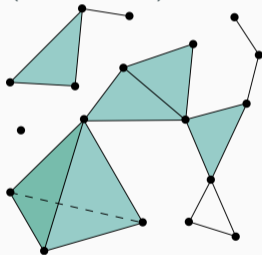
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Duke University

# Simplicial complexes

A **simplicial complex**  $\Delta$  on a set  $V$  is a collection of subsets of  $V$  such that if  $\sigma \in \Delta$  and  $\tau \subset \sigma$ , then  $\tau \in \Delta$ .

- The *dimension* of  $\Delta$  is the size of a maximal element minus one.
- $\Delta$  is *pure* if all maximal elements (called facets) have the same cardinality.



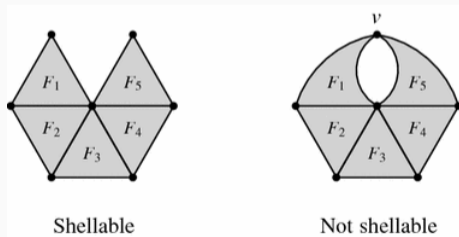
- Combinatorial structure allows for computing algebraic invariants, can be studied with tools from commutative algebra via their Stanley-Reisner rings.
- Example:  $\langle 123, 456 \rangle = \{\emptyset, 1, 2, 3, 4, 5, 6, 12, 13, 23, 45, 46, 56, 123, 456\}$

# Shellable complexes

A pure  $d$ -dim simplicial complex  $\Delta$  is **shellable** if there exists an ordering of its facets  $F_1, \dots, F_s$  such that for all  $k$  the complex

$$\langle \bigcup_{i=1}^{k-1} F_i \rangle \cap \langle F_k \rangle$$

is pure of dimension  $d - 1$ .



# Motivations for shellability

- Shellable complexes have the homotopy type of a wedge of spheres (or are contractible).
- If  $\Delta$  is shellable then its Stanley-Reisner ring  $R/I_\Delta$  is Cohen-Macaulay.
- Examples:
  - Boundaries of simplicial polytopes [Brugesser-Mani],
  - Independence complexes of matroids [Björner],
  - Skeleta of shellable complexes, e.g.  $\Delta_{n-1}^{(k)}$ , the  $k$ -skeleton of a simplex on  $[n]$ .
- Recently Goaoc, Paták, Patakova, Tancer, Wagner proved that for  $d \geq 2$  deciding if a given  $d$ -dimensional complex on  $n$  facets is shellable is NP-complete.
- Easy to get  $h$ -vector with a shelling order.

## Extendably shellable complexes

A shellable complex  $\Delta$  is said to be **extendably shellable** (ES) if any shelling of a subcomplex can be extended to a shelling of  $\Delta$ .

- Any 2-dim triangulated sphere is ES [Danaraj-Klee].
- All rank 3 matroids are ES [Björner-Eriksson].
- Any  $d$ -sphere with  $d + 3$  vertices is ES [Kleinschmidt].
- Some 'nicely behaved' shellable complexes are not ES (e.g. certain simplicial 3-spheres [Ziegler]).

# Simon's Conjecture

The motivation for much of our work will be the following question posed by Simon.

## Conjecture (Simon's Conjecture)

*The complex  $\Delta_{n-1}^{(k)}$  is extendably shellable.*

- $k = 2$  case follows from by considering the uniform matroid of rank 3.
- Bigdeli, Yazdan Pour, and Zaare-Nahandi have established the  $k \geq n - 3$  cases (strengthened by Culbertson, Dochtermann, Guralnik, Stiller).

## Definition

A pure  $d$ -dimensional complex  $\Delta$  on  $n$  vertices is **shelling completable** if there exists a shelling  $F_1, F_2, \dots, F_s$  of  $\Delta$  that can be taken as the initial sequence of some shelling of  $\Delta_{n-1}^{(d)}$ .

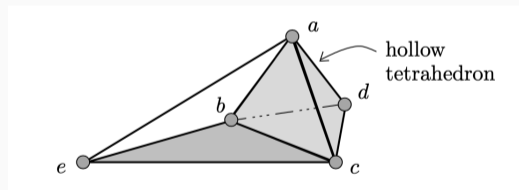
- If  $\Delta$  is shelling completable then *any* shelling of  $\Delta$  can be completed.
- Simon's conjecture: any pure shellable complex is shelling completable.
- We prove that a nice subclass is shelling completable.

## Link and Deletion

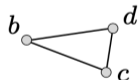
For a simplicial complex  $\Delta$  on ground set  $V$  and face  $F \in \Delta$ , we have

$$\text{lk}_{\Delta}(F) := \{G \in \Delta : G \cap F = \emptyset, G \cup F \in \Delta\},$$

$$\text{del}_{\Delta}(F) := \{G \in \Delta : F \not\subseteq G\}.$$



$$\text{link}_{\Gamma}(a) = e \circ$$



Helpful fact: the link of a shellable complex is shellable.

# Vertex decomposable complexes

## Definition

A simplicial complex  $\Delta$  is **vertex decomposable** (VD) if  $\Delta$  is a simplex, or  $\Delta$  contains a vertex  $v$  (decomposing vertex) such that

1. both  $\text{del}_\Delta(v)$  and  $\text{lk}_\Delta(v)$  are vertex decomposable, and
2. any facet of  $\text{del}_\Delta(v)$  is a facet of  $\Delta$ .

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- Introduced by Provan-Billera in their study of the Hirsh conjecture.
  - Vertex decomposable complexes are shellable.
  - The  $k$ -skeleta of a simplex is VD.
  - Shifted complexes are VD.
  - (Provan-Billera) Matroid complexes are VD, and any vertex works!
  - Bergman complex of matroids are not.



# Vertex decomposable implies shelling completable

With Coleman, Dochtermann and Oh we prove

## **Theorem [CDGO, '20]**

Suppose  $\Delta$  is a  $d$ -dimensional vertex decomposable simplicial complex on ground set  $V$ . Then there exists a linear order on  $V$  such that if  $F$  is the revlex smallest  $(d + 1)$ -subset of  $V$  not contained in  $\Delta$ , then the complex  $\langle \Delta \cup \{F\} \rangle$  is vertex decomposable.

From this we conclude:

## **Corollary**

*Vertex decomposable complexes are shelling completable.*

## Example of completing and idea of proof

Suppose  $\Delta$  is a VD complex. The basic idea is to consider a decomposing vertex and use induction. If the deletion has a missing facet, induction gives the desired ordering needed to extend; otherwise consider the link.

1. For example let  $\Delta = \{1234, 1235, 1245, 1345, 2345, 1236, 1246, 1256, 2356, 1237, 2347\}$ , and note that 7 is decomposing.

Here  $del_{\Delta}(7) = \{1234, 1235, 1245, 1345, 2345, 1236, 1246, 1256, 2356\}$  has decomposing order  $\{1, 2, 3, 4, 5, 6\}$  and we can add the facet 1346.

2. Next let  $\Delta' = \{1234, 1235, 1245, 1345, 2345, 1236, 1246, 1256, 2356\}$ , and note that 6 is decomposing.

Now we have  $del_{\Delta'}(6) = \{1234, 1235, 1245, 1345, 2345\}$  is 'full', so we consider  $lk_{\Delta'}(6) = \{123, 124, 125, 235\}$  which has decomposing order  $\{1, 2, 3, 4, 5\}$  and extendable by 134. We then add the facet 1346 to the complex.

## Applications to matroids

A pure simplicial complex  $\Delta$  is (the independence complex of) a **matroid** if its set of facets satisfies the following exchange property: If  $F$  and  $G$  are facets of  $\Delta$  then for any  $x \in F \setminus G$  there exists some  $y \in G \setminus F$  such that  $(F \setminus \{x\}) \cup \{y\}$  is a facet of  $M$ .

- Matroids are VD, hence shelling completable.
- What facet(s) can be added to maintain VD?

### Proposition (CDGO, '20)

Let  $\Delta$  be a rank  $d$  matroid and suppose  $v_1, v_2, \dots, v_n$  is any ordering of its ground set such that  $\{v_1, v_2, \dots, v_d\} \in \Delta$ . If  $F$  is the revlex smallest  $d$ -subset missing from  $\Delta$  then the complex generated by  $\Delta \cup \{F\}$  is vertex decomposable.

- Related question: does there exist a  $d$ -subset  $F \subset V$  such that  $\Delta \cup \{F\}$  is again a *matroid*? By results of Kahn and Truemper this holds if and only if  $F$  is a circuit-hyperplane.

Culbertson, Dochtermann, Guralnik, and Stiller have shown that if  $\Delta$  is a shellable  $d$ -dim complex on at most  $d + 3$  vertices then  $\Delta$  is extendably shellable. Similarly we prove:

### **Theorem (CDGO, '20)**

*Suppose  $\Delta$  is a shellable  $d$ -dimensional simplicial complex on at most  $d + 3$  vertices. Then  $\Delta$  is vertex decomposable.*

- Hence for such complexes the concepts of shellable, extendably shellable, shelling completable, and vertex decomposable are all equivalent.
- Theorem is tight in the sense that there exist 2-dimensional complexes on 6 vertices that are shellable but not vertex decomposable.

## Further directions: $k$ -decomposable complexes

### Definition

A complex  $\Delta$  is  $k$ -**decomposable** if it is a simplex or contains a face  $F$  of  $\dim \leq k$  such that

1. both  $\text{del}_\Delta(F)$  and  $\text{lk}_\Delta(F)$  are  $k$ -decomposable, and
2. any facet of  $\text{del}_\Delta(F)$  is a facet of  $\Delta$ .

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- For any pure  $d$ -dim complex, we have

$$0\text{-dec (= VD)} \subseteq 1\text{-dec} \subseteq 2\text{-dec} \cdots \subseteq d\text{-dec (= Shellable)}.$$

- Question: Can one extend a  $k$ -dec complex by one facet, so it is still  $k$ -dec?
- When  $k = 0$  this is our result for VD, when  $k = d$  this is Simon's conjecture!
- Question: Is it true that 1-dec complexes are shelling completable? (Work in Progress.)