

The Homology Representation of Subword Order

Sheila Sundaram

Pierrepont School, Westport, CT

18 January 2022

FPSAC2021 online

2022 Jan 18 at 17:00 US East Coast time

2022 Jan 19 at 00:00 Israel time

The subword order poset

A^* is the free monoid of words of finite length in an alphabet A .

Subword order is defined on A^* by setting $u \leq v$ if u is a subword of v :

$u \leq v \iff u$ is obtained by deleting letters of the word v .

(A^*, \leq) is a graded poset with rank function given by the length $|w|$ of a word w , the number of letters in w .

The subword order poset

A^* is the free monoid of words of finite length in an alphabet A .

Subword order is defined on A^* by setting $u \leq v$ if u is a subword of v :

$u \leq v \iff u$ is obtained by deleting letters of the word v .

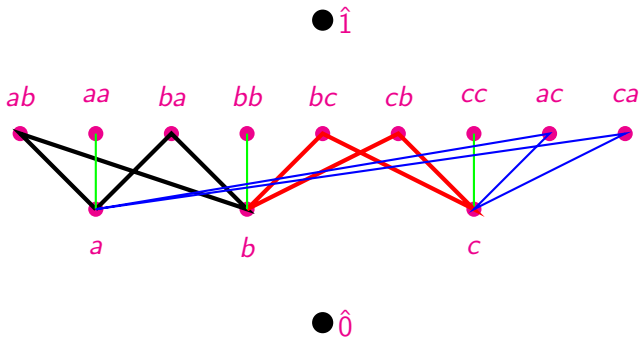
(A^*, \leq) is a graded poset with rank function given by the length $|w|$ of a word w , the number of letters in w .

The first two nontrivial ranks of the poset for $|A| = 3$, its order complex and topology

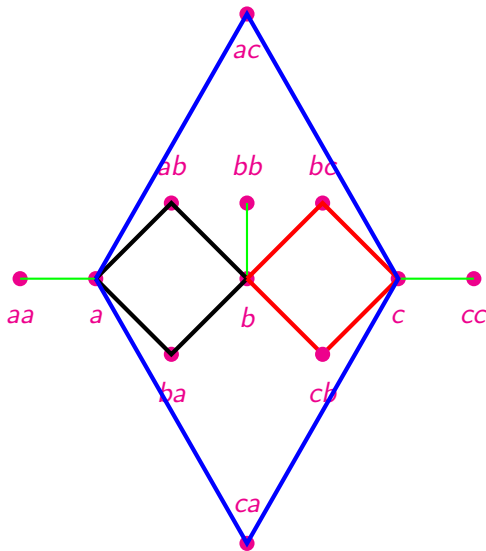
Let $A = \{a, b, c\}$. Consider words of length at most 2 in A .

The least element $\hat{0}$ is the empty word.

There are 3 words of length 1 and 9 words of length 2.



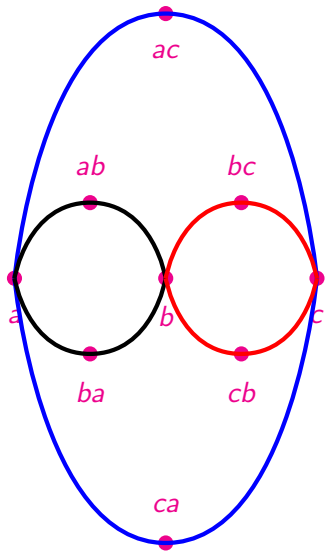
The rank 3 poset for $|A| = 3$, and its 1-dimensional order complex, drawn as a planar graph



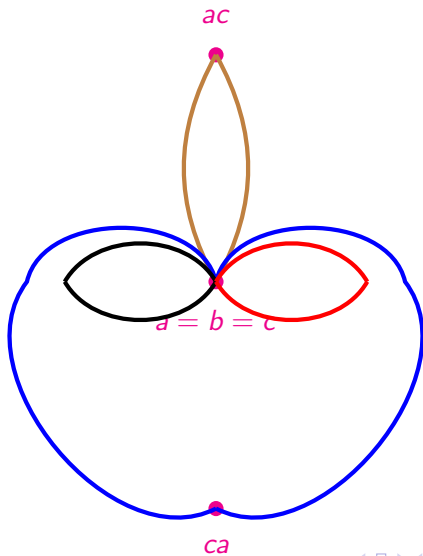
The rank-3 poset for $|A| = 3$, and its topology as a wedge of 4 circles

Contract the green edges, and identify a and c with b to get a bouquet of four 1-spheres (circles) with wedge point b .

The homotopy type is that of a wedge of four 1-spheres. Each sphere gives a homology vector space of dimension 1. So the homology is a vector space dimension 4.



Words of length at most 2 on $A = \{a, b, c\}$: a wedge of four one-spheres



Farmer showed that if $|A| = n$ and $A_{n,k}^*$ is the subposet of A^* consisting of words of length at most k , then $A_{n,k}^*$ has the **homology** of a wedge of $(n - 1)^k$ spheres of dimension $(k - 1)$.

Björner showed that the poset $A_{n,k}^*$ is dual-CL shellable, and hence its order complex, as well as the order complex of all rank-selected subposets, is **homotopy equivalent** to a wedge of spheres.

The action of the symmetric group

Suppose now that the alphabet A is finite, of cardinality n . The symmetric group S_n acts on $A = \{a_1, \dots, a_n\}$, and thus on A^* by replacement of letters: $a_i \mapsto a_{\sigma(i)}$ for $\sigma \in S_n$.

Example

$A = \{a_1, a_2, a_3\}$. For $\sigma = (12)$,

$$\sigma \cdot (a_1 a_2 a_1 a_3 a_3 a_2) = a_2 a_1 a_2 a_3 a_3 a_1.$$

To avoid trivialities we will assume $n \geq 2$.

Example ($n=3$: the 15 chains in $A_{3,2}$, words of length at most 2)

$\{a < ab, b < ab, a < ba, b < ba, a < ac, c < ac, a < ca, c < ca, b < bc, c < bc, b < cb, c < cb\}$ and $\{a < aa, b < bb, c < cc\}$.

Notice:

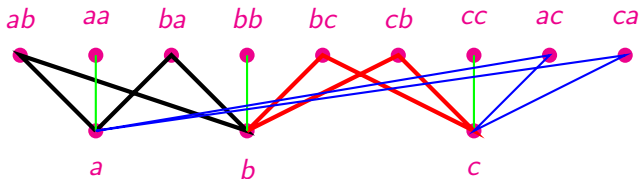
The three chains $\{a < aa, b < bb, c < cc\}$ span an invariant subspace, closed under the action of S_3 . This is the *natural* or *defining* representation V_3 of S_3 .

Its S_3 -invariant complement W_3 is the 12-dimensional space spanned by the chains of the form $x < xy, x < yx$, where $x \in \{a, b, c\}$ and $y \neq x$.

S_3 acting on the chains for words of length at most 2.

In this case, the action decomposes into one copy of V_3 and two copies of the regular representation.

This is not obvious from the basis of chains.



The reflection representation

Definition

The natural (or defining) representation V_n of S_n is the action of S_n on the n one-element subsets of $[n]$.

Theorem (Standard Fact)

V_n decomposes into two invariant subspaces; the trivial representation $S_{(n)}$ and the reflection representation $S_{(n-1,1)}$, indexed by the integer partition $(n-1, 1)$ of n .

The S_n -action on the homology module $\tilde{H}(A_{n,k}^*)$

Theorem (Björner-Stanley)

$\tilde{H}(A_{n,k}^*)$ is isomorphic to the k th tensor power of the reflection representation $S_{(n-1,1)}$.

Proof.

(Sketch) Use the Hopf trace formula. The Möbius number calculation can be translated into a character formula for the S_n -action. □

Let S be a subset of the ranks $[1, k]$. Consider the subposet $A_{n,k}^*(S)$ of words with lengths in S . This is also invariant under S_n , and has unique nonvanishing homology (dual CL-shellability is preserved).

Theorem (S, 2020)

For any subset $S = \{1 \leq s_1 < \dots < s_p \leq k\}$ of $[1, k]$, the action on the chains of $A_{n,k}^*(S)$ is given by the S_n -module

$$\bigotimes_{r=1}^p \left(\bigoplus_{i=0}^{s_r - s_{r-1}} \binom{s_r}{i} \mathcal{S}_{(n-1,1)}^{\otimes i} \right), s_0 = 1.$$

In particular, it is a nonnegative integer combination of tensor powers of the reflection representation.

Theorem (S, 2020)

The action of S_n on the maximal chains of $A_{n,k}^*$ decomposes into the sum

$$\bigoplus_{j=1}^{k+1} c(k+1, j) S_{(n-1,1)}^{\otimes k+1-j},$$

where $c(k+1, j)$ is the number of permutations in S_{k+1} with exactly j cycles in its disjoint cycle decomposition.

The dimension version of this is due to Viennot (JCTA, 1983).

Stanley's theory of rank-selected poset homology (JCTA, 1982):

Theorem (Stanley)

Let P be a bounded ranked Cohen-Macaulay poset with automorphism group G , and let S be any subset of ranks. Let P_S be the corresponding rank-selected subposet of P . Let $\alpha_G(S)$, $\beta_G(S)$ denote respectively the actions of G on the maximal chains and the homology of P_S . Then

$$\alpha_G(T) = \sum_{S \subseteq T} \beta_G(S) \quad \text{and thus} \quad \beta_G(T) = \sum_{S \subseteq T} (-1)^{|T|-|S|} \alpha_G(S).$$

Theorem (S, 2020)

The S_n -action on the homology of the rank-selected subposet $A_{n,k}^(T)$, $T \neq \emptyset$, is an integer combination of positive tensor powers of the irreducible indexed by $(n-1, 1)$. The highest tensor power that can occur is the m th, where $m = \max(T)$.*

Conjecture (A)

Let A be an alphabet of size $n \geq 2$. Then the S_n -action on the homology of any finite nonempty rank-selected subposet of subword order on A^ is a **nonnegative** integer combination of positive tensor powers of the irreducible indexed by the partition $(n-1, 1)$.*

Theorem (S, 2020)

Fix $k \geq 1$ and let S be the interval of consecutive ranks $[r, k]$ for $1 \leq r \leq k$. Then the rank-selected subposet $A_{n,k}^*(S)$ has unique nonvanishing homology in degree $k - r$, and the S_n -homology representation on $\tilde{H}_{k-r}(A_{n,k}^*(S))$ is given by the decomposition

$$\bigoplus_{i=1+k-r}^k b_i S_{(n-1,1)}^{\otimes i}, \text{ where } b_i = \binom{k}{i} \binom{i-1}{k-r}, i = 1+k-r, \dots, k.$$

Deleting one rank

Let S be the rank-set $S = [1, k] \setminus \{r\}$, corresponding to the subposet obtained by removing all words of length r , for a fixed r in $[1, k]$.

Theorem (S, 2020)

As an S_n -module, we have

$$\tilde{H}_{k-2}(A_{n,k}^*(S)) \simeq \left[\binom{k}{r} - 1 \right] S_{(n-1,1)}^{\otimes k} \oplus \binom{k}{r} S_{(n-1,1)}^{\otimes k-1}.$$

Notice: If $r < k$, the subposet obtained by deleting words of length r has the same homology module as the subposet obtained by deleting words of length $k - r$.

Question: Explicit homotopy equivalence?

Corollary

Let $|A| = n$. Fix a rank $r \in [1, k - 1]$. Then the homology modules of the subposets $A_{n,k}^*([1, k] \setminus \{r\})$ and $A_{n,k}^*([1, k] \setminus \{k - r\})$ are S_n -isomorphic.

Question

Is there an S_n -homotopy equivalence between the simplicial complexes associated to the subposets $A_{n,k}^*([1, k] \setminus \{r\})$ and $A_{n,k}^*([1, k] \setminus \{k - r\})$?

Conjecture (A) – (I)

Conjecture (A) is true for all rank-selected chain modules, and also the rank-selected homology modules for the rank-set S where

$$(1) S = [r, k]; \quad (2) S = [1, k] \setminus \{r\}; \quad (3) S = \{1 \leq s_1 < s_2\}.$$

Conjecture (A) – (II)

Conjecture (A) is also true for the following:

Theorem (S, 2020)

In the poset $A_{n,k}^*$, for $1 \leq i \leq k$:

- 1 The Whitney homology module

$$WH_i := \bigoplus_{|x|=i} \tilde{H}(\hat{0}, x) \simeq S_{(n-1,1)}^{\otimes i} \oplus S_{(n-1,1)}^{\otimes i-1};$$

- 2 The dual Whitney homology module

$$WH_{k+1-i}^* := \bigoplus_{|x|=k+1-i} \tilde{H}(x, \hat{1}) \simeq \bigoplus_{j=0}^i \binom{k}{i} \binom{i}{j} S_{(n-1,1)}^{\otimes j+k-i}.$$

The case $n = 3, k = 2$ revisited

The S_3 -module structure on the maximal chains is

$$c(3, 1)S_{(n-1, 1)}^{\otimes 2} \oplus c(3, 2)S_{(n-1, 1)} \oplus c(3, 3)S_{(3)}.$$

But we know this is a permutation module. In fact, its Frobenius characteristic is (with $*$ denoting the *internal* product):

$$2s_{(2,1)} * s_{(2,1)} + 3s_{(2,1)} + s_{(3)} = h_1 h_2 + 2h_1^3.$$

It is h -positive!

Note: permutation modules are not necessarily h -positive, e.g. S_4 acting on the three set partitions $12/34, 13/24, 14/23$:

$$h_4 + h_2^2 - h_1 h_3.$$

h -positivity

h_n is the complete homogeneous symmetric function of degree n .

Definition (Hooks)

Set $T_1(n) := \{h_\lambda : \lambda = (n - r, 1^r), r \geq 1\}$,

and $T_2(n) := \{h_\lambda : \lambda = (n - r, 1^r), r \geq 2\}$.

Theorem (S, 2020)

The Whitney and dual Whitney homology are permutation modules with h -positive Frobenius characteristic supported on the set $T_2(n)$, except for $WH_i, i = 0, 1$.

$\text{ch } WH_0 = h_n$, $\text{ch } WH_1 = h_1 h_{n-1}$, and for $j \geq 2$,

$$\text{ch } WH_j = \sum_{d=2}^j S(j-1, d-1) h_1^d h_{n-d}.$$

Here $S(n, k)$ is the Stirling number of the second kind.

Theorem (S, 2020)

The action of S_n on the maximal chains of the rank-selected subposet of A^ of words with lengths in T , is a **nonnegative** integer combination of tensor powers of the reflection representation $S_{(n-1,1)}$. The Frobenius characteristic is h -positive and supported on the set $T_1(n) = \{h_\lambda : \lambda = (n-r, 1^r), r \geq 1\}$ if $|T| \geq 1$. The coefficient of $h_1 h_{n-1}$ is always 1.*

“almost” h -positivity (I)

Let $s_{(n-1,1)}$ denote the Schur function indexed by the partition $(n-1, 1)$.

Theorem (S, 2020)

Let $T \subseteq [1, k]$ be any nonempty subset of ranks in $A_{n,k}^*$. The following statements hold for the Frobenius characteristic $F_n(T)$ of the homology representation $\tilde{H}(A_{n,k}^*(T))$:

- 1 its expansion in the basis of homogeneous symmetric functions is an integer combination supported on the set $T_1(n) = \{h_\lambda : \lambda = (n-r, 1^r), r \geq 1\}$.
- 2 $F_n(T) + (-1)^{|T|} s_{(n-1,1)}$ is supported on the set $T_2(n) = \{h_\lambda : \lambda = (n-r, 1^r), r \geq 2\}$.

When is this expansion actually h -positive?

Example of “almost” h -positivity: one-rank deletion for $n = 6, k = 7$

Here the rank-set is $T = [1, 7] \setminus \{r\}$.

The reflection representation for S_6 is the irreducible $S_{(5,1)}$, with characteristic $(\mathbf{h}_1\mathbf{h}_5 - \mathbf{h}_6)$.

Recall that the action of S_6 on the homology is given by

$$\tilde{H}_{k-2}(A_{n,k}^*(T)) \simeq \left[\binom{k}{r} - 1 \right] S_{(n-1,1)}^{\otimes k} \oplus \binom{k}{r} S_{(n-1,1)}^{\otimes k-1}.$$

$$490h_1^6 + 560h_1^4h_2 + 196h_1^3h_3 + 7h_1^2h_4 - (\mathbf{h}_1\mathbf{h}_5 - \mathbf{h}_6), (r = 1);$$

$$1610h_1^6 + 1820h_1^4h_2 + 630h_1^3h_3 + 21h_1^2h_4 - (\mathbf{h}_1\mathbf{h}_5 - \mathbf{h}_6), (r = 2);$$

$$2730h_1^6 + 3080h_1^4h_2 + 1064h_1^3h_3 + 35h_1^2h_4 - (\mathbf{h}_1\mathbf{h}_5 - \mathbf{h}_6), (r = 3).$$

Theorem (S, 2020)

For any nonempty rank set $T \subseteq [1, k]$, consider the module

$$\tilde{H}_{k-2}(A_{n,k}^*(T)) + (-1)^{|T|} S_{(n-1,1)}.$$

Its Frobenius characteristic $F_{n,k}(T) + (-1)^{|T|} s_{(n-1,1)}$ is supported on the set $T_2(n) = \{h_\lambda : \lambda = (n-r, 1^r), r \geq 2\}$ with **nonnegative** integer coefficients in each of the following cases:

- 1 $T = [r, k], k \geq r \geq 1.$
- 2 $T = [1, k] \setminus \{r\}, k \geq r \geq 1.$
- 3 $T = \{1 \leq s_1 < s_2 \leq k\}.$

Conjecture (B)

Let A be an alphabet of size $n \geq 2$. Then the homology of any finite nonempty rank-selected subposet of subword order on A^* , **plus or minus one copy of the reflection representation of S_n** , is a permutation module. In fact the Frobenius characteristic is h -positive and supported on the set

$$T_2(n) = \{h_\lambda : \lambda = (n - r, 1^r), r \geq 2\}.$$

**A BIG THANK YOU TO
THE FPSAC2021 ORGANISERS,
AND
THANK YOU FOR COMING!**

Theorem (S, 2020)

Fix $k \geq 1$. The k th tensor power of the reflection representation $S_{(n-1,1)}^{\otimes k}$, i.e. the homology module $\tilde{H}_{k-1}(A_{n,k}^*)$, has the following property: $S_{(n-1,1)}^{\otimes k} \oplus (-1)^k S_{(n-1,1)}$ is a permutation module $U_{n,k}$ whose Frobenius characteristic is h -positive, and is supported on the set $\{h_\lambda : \lambda = (n-r, 1^r), r \geq 2\}$. If $k = 1$, then $U_{n,1} = 0$. More precisely, the k -fold internal product $S_{(n-1,1)}^{*k}$ has the following expansion in the basis of homogeneous symmetric functions h_λ :

$$\sum_{d=0}^n g_n(k, d) h_1^d h_{n-d},$$

where $g_n(k, 0) = (-1)^k$, $g_n(k, 1) = (-1)^{k-1}$, and $g_n(k, d) = \sum_{i=d}^k (-1)^{k-i} S(i-1, d-1)$, for $2 \leq d \leq n$.

Tensor powers of $S_{(n-1,1)} - (II)$

Hence $s_{(n-1,1)}^{*k} = (-1)^{k-1}(s(n-1,1) + \text{ch}(U_{n,k}))$, where

$$\text{ch}(U_{n,k}) = \sum_{d=2}^n g_n(k, d) h_1^d h_{n-d}.$$

The integers $g_n(k, d)$ are independent of n for $k \leq n$, nonnegative for $2 \leq d \leq k$, and $g_n(k, d) = 0$ if $d > k$. Also:

- 1 $g_n(k, 2) = \frac{1+(-1)^k}{2}$.
- 2 $g_n(k, k-1) = \binom{k-1}{2} - 1, k \leq n$.
- 3 $g_n(k, k) = 1, k < n$.

Theorem (S, 2020)

The positive integer $\beta_n(k) = \sum_{d=2}^{\min(n,k)} g_n(k, d)$ is the multiplicity of the trivial representation in $S_{(n-1,1)}^{\otimes k}$. When $n \geq k$, it equals the number of set partitions $B_k^{\geq 2}$ of the set $\{1, \dots, k\}$ with *no singleton blocks*.

This gives the stable dimension of the quotient complex.

Also $\beta_n(n+1) = B_{n+1}^{\geq 2} - 1$ and $\beta_n(n+2) = B_{n+2}^{\geq 2} - \binom{n+1}{2}$.

Theorem (S, 2020; (?))

The first $n - 1$ positive tensor powers of $S_{(n-1,1)}$ are an integral basis for the vector space spanned by the positive tensor powers. The n th tensor power of $S_{(n-1,1)}$ is an integer linear combination of the first $(n - 1)$ tensor powers:

$$S_{(n-1,1)}^{\otimes n} = \bigoplus_{k=1}^{n-1} a_k(n) S_{(n-1,1)}^{\otimes k},$$

with $a_{n-1}(n) = \binom{n-1}{2}$.

Enumerative consequences – (III)

Let $c(n, j)$ be the number of permutations in S_n with exactly j disjoint cycles.

A recurrence for the coefficients $a_k(n)$ is:

$$a_{n-1}(n) = \binom{n-1}{2};$$

$$(n-2)a_j(n) - a_{j-1}(n) = (-1)^{n-j}[c(n, j) - c(n, j-1)],$$
$$2 \leq j \leq n-1;$$

$$(n-2)a_1(n) = c(n, 1)(-1)^{n-1}$$

$$\implies a_1(n) = \frac{(n-1)!}{n-2}(-1)^{n-1} = (-1)^{n-1}[(n-2)! + (n-3)!]$$

Question

Recall that $a_{n-1}(n) = \binom{n-1}{2}$. Is there a combinatorial interpretation for the signed integers $a_i(n)$? There are many interpretations for $(-1)^{n-1} a_1(n) = (n-2)! + (n-3)!$, see OEIS A001048.

For $n \geq 4$ it is also the size of the largest conjugacy class in S_{n-1} . The other sequences $\{a_i(n)\}_{n \geq 3}$ are NOT in OEIS.

Example

Write X_n^k for $S_{(n-1,1)}^{\otimes k}$. Maple computations with Stembridge's SF package show that

① $X_3^3 = X_3^2 + 2X_3.$

② $X_4^4 = 3X_4^3 + X_4^2 - 3X_4.$

③ $X_5^5 = 6X_5^4 - 7X_5^3 - 6X_5^2 + 8X_5.$

④ $X_6^6 = 10X_6^5 - 30X_6^4 + 20X_6^3 + 31X_6^2 - 30X_6$

⑤ $X_7^7 = 15X_7^6 - 79X_7^5 + 165X_7^4 - 64X_7^3 - 180X_7^2 + 144X_7$

⑥ $X_8^8 =$
 $21X_8^7 - 168X_8^6 + 630X_8^5 - 1029X_8^4 + 189X_8^3 + 1198X_8^2 - 840X_8.$

Question

For fixed k and n , what do the positive integers $g_n(k, d)$ count? Is there a combinatorial interpretation for $\beta_n(k) = \sum_{j=d}^{\min(n,k)} g_n(k, d)$, the multiplicity of the trivial representation in the top homology of $A_{n,k}^*$, in the nonstable case $k > n$?

Recall that for $k \leq n$, this is the number $B_k^{\geq 2}$ of set partitions of $[k]$ with no singleton blocks, and is sequence OEIS A000296.

Enumerative Questions – (III)

Proposition (S, 2020)

There are two formulas for $g_n(k, d)$:

$$\sum_{j=d}^k (-1)^{k-j} S(j-1, d-1) = \sum_{r=0}^{k-d} (-1)^r \binom{k}{k-r} S(k-r, d).$$

In particular, when $n \geq k$, this multiplicity is independent of n .

Question

Is there a combinatorial explanation?

Note: The blue formula shows that $g_n(k, d)$ is a nonnegative integer.

Techniques from [S, Adv. in Math 1994] and [S, Jerusalem Combinatorics, Contemp. Math, 1994]:

Theorem (S, 1994)

Equivariant acyclicity of Whitney homology.

Theorem (S, 1994)

A formula for finding the homology of subposets from the known homology of the poset P , e.g. by deleting an antichain.

Also, inspired by an observation of Richard Stanley:

Proposition (S, 2020)

Subword order belongs to a family of posets $\{P_n\}$ with automorphism group S_n such that the action of S_n is determined by the Möbius number $\mu(P_n)$ as a polynomial in n .

Hopf trace formula says that the trace of $g \in G$ on the Lefschetz module of a G -invariant poset P is the Möbius number of the fixed-point subposet P_g .